

# An unexceptional semantics for expressions of exception<sup>1</sup>

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## 1 The puzzles of *but*-exceptives

This paper focuses on two puzzles posed by exceptive phrases formed with *but*. First, the *entailment puzzle*. The sentence in (1) entails (a) that John did not come, and (b) that every other student came. I will refer to (a) as the *negative entailment*, and (b) as the *otherness entailment*.

- (1) Every student but John came.  
a.  $\neg \text{came}(\text{John})(w_0)$       b.  $\forall x [(\text{student}(x)(w_0) \ \& \ x \neq \text{John}) \rightarrow \text{came}(x)(w_0)]$

Second is the *distribution puzzle*: *but*-exceptives can occur with universal quantifiers, as in (2), but are ungrammatical otherwise, including with *some* (3a), numerals (3b), proportional quantifiers (3c), and definites (4) (see Gajewski 2008 for additional complications).

- (2) {every, all the} student(s) but John came.  
(3) \*{(a) some, (b) three, (c) most} student(s) but John came.  
(4) \*The students but John came.

Building on ideas in von Stechow (1993), Gajewski (2008, 2013) proposes a general framework for resolving these puzzles. The framework has three components: (i) *but* itself denotes a type of subtraction; (ii) alternatives to the complement of *but* are activated; and (iii) these alternatives are obligatorily “used up” by a higher strengthening operator. Given Gajewski’s framework, exceptives become a testing ground for two questions of general importance. **Q1**: how are alternatives computed? And **Q2**: what is the inventory of strengthening operators?

This paper tackles these questions. Natural answers involve machinery familiar from other domains. Regarding Q1: alternative computation in *but*-exceptives could proceed according to an algorithm independently proposed for computation of focus alternatives (Rooth e.g. 1992, Fox & Katzir 2011). Regarding Q2: the strengthening operator could be the exhaustivity operator familiar from work on scalar implicatures (Chierchia 2006; Fox 2007; Chierchia, Fox, & Spector 2009). I refer to these answers together as the *unexceptional hypothesis*.

Gajewski considers the unexceptional hypothesis, but shows that it runs into a problem in accounting for the ungrammaticality of the examples in (3). He thus rejects the unexceptional hypothesis in favor of different methods of alternative computation (Gajewski 2013) and strengthening (Gajewski 2008). The present paper, however, attempts to defend the unexceptional hypothesis. I propose a resolution to the problem Gajewski points out, and furthermore extend the approach to account for the deviance of *but* with plural definites, as in (4).

## 2 *But* as subtraction

A leading idea in early work on exceptives (e.g. Hoeksema 1987, von Stechow 1993) is that *but* has as a component of its meaning subtraction. In (1), *but* subtracts John from the set of students to yield as the restrictor of *every* the set of students who are not John.

To formalize this, I will make the structural assumption that *but John* forms a constituent and attaches within the restrictor of *every*. The structure for *every student but John* is given in (5):

- (5) [every [student but John]] came

Semantically, I define *but* as in (6a) (cf. Thomas 2011 on *other*). *But* takes as its arguments

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an atomic or plural individual,  $X$ , and a predicate of individuals,  $f$ . *But* returns a new predicate characterizing the set of atomic and plural individuals that satisfy  $f$  and do not *overlap* with  $X$ . Per (6b), two individuals overlap just in case they have some subpart in common.

$$(6) \text{ a. } [[\textit{but}]] = \lambda X . \lambda Y . \neg \text{Overlap}(X, Y) \quad \text{b. } \text{Overlap}(X, Y) \text{ iff } \exists z [z \leq X \ \& \ z \leq Y]$$

Given the denotation for *but* in (6a), consider how composition proceeds in (5). *But* takes *John* as its first argument and yields the characteristic function for the set of individuals which do not overlap with John, i.e. the set of individuals that do not contain John as an atomic subpart, (7).

$$(7) [[\textit{but John}]] = [[\textit{but}]](\textit{John}) = \lambda Y . \neg \text{Overlap}(\textit{John}, Y)$$

*But John* composes with *student*, which I take to denote the characteristic function for the set of atomic students. *Student* and *but John* compose via Predicate Modification to yield the characteristic function for the set of atomic students which do not overlap with John — i.e. the set of students who are not John, (8a). *Student but John* is the restrictor of *every* and *came* its scope, resulting in the truth-conditions in (8b): (5) is true just in case every student who is not John came.

$$(8) \text{ a. } [[\textit{student but John}]]^w = \lambda x . \textit{student}(x)(w) \ \& \ \neg \text{Overlap}(\textit{John}, x) \\ \text{b. } [[(5)]]^w = 1 \text{ iff } \forall x [(\textit{student}(x)(w) \ \& \ \neg \text{Overlap}(\textit{John}, x)) \rightarrow \textit{came}(x)(w)]$$

Subtraction cannot, however, be all there is to *but*-exceptives, since subtraction does not offer a resolution to either the entailment puzzle, or the distribution puzzle. The truth-conditions in (8b) perfectly capture the otherness entailment in (1b), but fail to capture the negative entailment in (1a). These truth-conditions are silent about whether or not John came: they are verified both in a scenario where every student who is not John came and John didn't come, as well as in a scenario where every student who is not John came and John came too. To capture the negative entailment, the truth-conditions must be enriched so that they are verified only in the former case.

The sentence in (9) illustrates the failure to capture the distribution puzzle: although the sentence in (9) is deviant, the truth-conditions predicted for (9) given the denotation for *but* in (6a) are not pathological: (9) is predicted to be true just in case some student who is not John came.

$$(9) \text{ *Some student but John came.}$$

### 3 The unexceptional hypothesis

I spell out the unexceptional hypothesis, and demonstrate how it can account for the negative entailment, and nearly resolve the distribution puzzle. This section substantively follows the “first attempt” analysis in Gajewski (2013) with certain modifications along the way.

#### 3.1. Alternative computation: focus

Following Gajewski (2008), I assume that the complement of *but* is F(ocus)-marked, as in (10). Alternative computation in exceptives thus reduces to computation of focus alternatives.

$$(10) [[\textit{every} [\textit{student but John}_F]] \textit{came}]$$

In Rooth (e.g. 1992), focus alternatives are computed by replacing an F-marked constituent with any element of like semantic type. Fox & Katzir (2011), however, demonstrate that this approach predicts too many alternatives, and instead adopt an algorithm for alternative computation based on *structural complexity*: alternatives are computed by replacing an F-marked constituent with constituents that are at most equally complex as it. In particular, the F-marked constituent is replaced by (i) its own sub-constituents, and (ii) elements from the lexicon.<sup>2</sup>

<sup>2</sup> This is a slight simplification of Fox & Katzir's proposal; I refer the reader to their paper for more details.



Exh negates (16), which introduces an entailment that it is *not* the case that every student who is not Mary came. In other words, some student who is not Mary did not come. This entailment is met in (i), where John did not come, but is not met in (ii), where all of the students came.

$$(16) \quad \lambda w . \forall x [(student(x)(w) \ \& \ \neg Overlap(Mary, x)) \rightarrow came(x)(w)]$$

### 3.4. Almost resolving the distribution puzzle

The idea pursued in von Stechow (1993) and Gajewski (2002, 2008, 2013) is that contradictions necessarily arise when a *but*-exceptive occurs with a non-universal quantifier, and that sentences register as ungrammatical when they are necessarily contradictory. Since I have assumed that Exh only negates innocently excludable alternatives, appeal to contradiction will need to be re-thought.

The key move is to constrain the distribution of Exh. Following Fox & Spector (2009), Gajewski (2013), and Spector (2013), I adopt a constraint which prohibits Exh from applying when it cannot negate any alternative:

#### (17) NON-VACUITY

Exh[A] is infelicitous if Exh[A] is equivalent to A.

With this constraint in place, consider again the sentence in (18a), where *but* occurs with existential *some*. The analysis attributes to (18a) the structure in (18b). To see the effect of the constraint, suppose that C contains the individuals  $a_1$ - $a_n$  so that the contextually salient alternatives to the prejacent are those shown in (19).

- (18) a. \*Some student but John came.                      b. Exh [[some student but John<sub>F</sub>] came]  
 (19) a. Some student but  $a_1$  came.  
       b. Some student but  $a_2$  came.  
       c. ...  
       d. Some student but  $a_n$  came.

The prejacent of Exh in (18b) says that some student who is not John came. It follows from this that  $a_1$  came, or  $a_2$  came, and so forth to  $a_n$ , as stated in (20).

$$(20) \quad came(a_1) \vee came(a_2) \vee \dots \vee came(a_n)$$

Consider what happens if Exh were to negate all of the alternatives in (19), focusing on (19a) and (19b). (19a) says that some student who is not  $a_1$  came. Negating this introduces the entailment that *no* student who is not  $a_1$  came. This means that John did not come, and  $a_2$  did not come, and  $a_3$  did not come, and so forth, as stated in (21).

$$(21) \quad \neg came(John) \ \& \ \neg came(a_2) \ \& \ \neg came(a_3) \ \& \ \dots \ \& \ \neg came(a_n)$$

(19b) says that some student who is not  $a_2$  came. Negating this introduces the entailment that *no* student who is not  $a_2$  came. This means that John did not come, and  $a_1$  did not come, and  $a_3$  did not come, and so forth, as stated in (22):

$$(22) \quad \neg came(John) \ \& \ \neg came(a_1) \ \& \ \neg came(a_3) \ \& \ \dots \ \& \ \neg came(a_n)$$

A contradiction has arisen. (20) says that some student of  $a_1$ - $a_n$  came. (21) and (22) together say that none of  $a_1$ - $a_n$  came: both say that  $a_3$ - $a_n$  did not come; (22) says that  $a_1$  did not come; and (21) says that  $a_2$  did not come. It follows that the alternatives in (19a) and (19b) are not innocently excludable — and, in a similar way, *none* of the alternatives in (19) are innocently excludable.

With no alternatives innocently excludable, the only contribution of Exh is to assert the truth of the prejacent, and accordingly, the LF with Exh in (18b), repeated as (23a), is equivalent to the one without Exh in (23b). NON-VACUITY thus rules out (23a), which is the only available LF for (18a), given that *but* obligatorily co-occurs with Exh.

- (23) a. Exh [[some student but John] came] b. [[some student but John] came]

As said above, previous work has proposed that a sentence which is necessarily contradictory registers as ungrammatical. I assume that a sentence which is necessarily ruled out by pragmatic constraints also registers as ungrammatical. From discussion so far, it thus appears that the distribution puzzle is resolved: *but* is ruled out in (18a) by NON-VACUITY.

However, we have made an assumption which requires further scrutiny. This assumption has to do with the number of alternatives that there are to the prejacent. In particular, it is assumed in (19) that there are *multiple* alternatives: *John* is replaced by  $a_1$  in (19a), by  $a_2$  in (19b), and so forth. If there is only *one* alternative, however, (18a) is *not* ruled out by NON-VACUITY. This result is established in the next section, and means that NON-VACUITY is *not* sufficient to rule out (18a).

This issue was also noticed by Gajewski (in a slightly different way given that he does not assume innocent exclusion), and he takes it as sufficient cause to reject the unexceptional hypothesis. I, however, propose an approach to resolve the problem, which makes it possible to maintain the unexceptional hypothesis.

#### 4 The singleton pathology: the problem and its resolution

Suppose that the only contextually salient alternative to the prejacent in (18b) is (24), as obtains if there are only two salient students: John and  $a_1$ .

- (24) Some student but  $a_1$  came.

As above, the prejacent introduces the entailment that some student who isn't John came. In the scenario with two students, this means that  $a_1$  came, as in (25a). Negating the alternative in (24) introduces the entailment that no student who isn't  $a_1$  came, which means that John didn't come, as in (25b). These two entailments are consistent: if  $a_1$  came and John didn't, both are met.

- (25) a. came( $a_1$ ) b.  $\neg$ came(John)

From the fact that the alternative in (24) can be negated without giving rise to a contradiction, it follows that this alternative is innocently excludable — and NON-VACUITY is thus respected.

Given this, NON-VACUITY by itself is *not* sufficient to rule out (22). Still, NON-VACUITY has an important effect: it requires that ALT contain only one alternative to the prejacent and, as noted, this is the case only if there are exactly two salient students. The issue can thus be cast as the following question: why is (18a) not acceptable in a scenario with exactly two salient students?

##### 4.1. Resolving the problem

In a scenario with exactly two salient students, the restrictor of *some* in the prejacent in (18a) is a singleton. Informally, the set of students is {John,  $a_1$ }, and *but* subtracts John from this set to yield the singleton { $a_1$ } as the restrictor for *some*.

Existential quantifiers are known to resist a singleton restrictor. Heim (1991) points out the deviance of (26a), where world knowledge tells us that someone must have only one biological father. (26b) with superlative *tallest* makes the same point, as there must be one tallest student in the class. I propose that existential quantification is constrained by the constraint in (27):

- (26) a. #A father of the victim testified. b. #A tallest student in the class got an A.

(27) **ANTI-SINGLETON**

Existential quantification is infelicitous when the speaker and hearer can know that the restrictor of the existential is necessarily a singleton without knowing the extension of the restricting NP or the conversationally determined domain of quantification.<sup>4,5</sup>

<sup>4</sup> The definition of ANTI-SINGLETON is sufficiently weak so as to allow for the possibility in a sentence like Heim's (1991) *Robert caught a 20 foot long catfish* that there is only one such catfish: it cannot be known that there is only one such catfish without knowing the actual extension of *20 foot long catfish*.



#### 4.3. Extending to other quantifiers: *most*

Although ANTI-SINGLETON is formulated to apply only to existential quantifiers, the logic extends further. Consider (33a), with *most*. For there to be the appropriate alternatives to respect NON-VACUITY (proof omitted), (33a) must occur in a scenario where there are exactly two students (John,  $a_1$ ) or a scenario where there are exactly three students (John,  $a_1$ - $a_2$ ).

- (33) a. \*Most students but John came.                      b. Exh [[most [students but John<sub>F</sub>]] came]

Consider a scenario with two students (John,  $a_1$ ). The prejacent in (33) is (34), which says that most students who are not John came. The only student who is not John is  $a_1$ . Assuming *most* means *more than half*, the prejacent is verified if  $a_1$  came, leading to the entailment in (34b).

- (34) a. [[most [students but John]] came]                      b. came( $a_1$ )

The one alternative is (35a). Negating (35a) says that it is not the case that most students who are not  $a_1$  came. Since the only student who is not  $a_1$  is John, (35b) is entailed.

- (35) a. [[most [students but  $a_1$ ]] came]                      b.  $\neg$ came(John)

The entailments in (34b) and (35b) are consistent: if  $a_1$  came and John didn't, both are met. It follows that the alternative in (35a) is innocently excludable, and NON-VACUITY is respected. While I must omit discussion to conserve space, the scenario with three students leads to a similar result: NON-VACUITY is respected, and it is entailed that  $a_1$ - $a_2$  came, and John didn't come.

In the scenario with two students, *most* in the prejacent is provided with a singleton restrictor. {John} is subtracted from {John,  $a_1$ } to yield { $a_1$ } as the restrictor of *most*. In the scenario with three students, *most* in the prejacent is provided with a doubleton restrictor. {John} is subtracted from {John,  $a_1$ ,  $a_2$ } to yield { $a_1$ ,  $a_2$ } as the restrictor of *most*. It can be shown independently that *most* is infelicitous in scenarios with these profiles. Consider (36a-b). There is necessarily one tallest student in (36a), and necessarily two biological parents in (36b), and *most* is infelicitous.

- (36) a. #Most tallest students in the class got an A.  
b. #Most of my parents came for a visit.

So, given NON-VACUITY, (33a) is restricted to two scenarios, and independent constraints on *most* rule out (33a) in these scenarios — ruling out the sentence entirely.

#### 4.4. Interim summary

I have argued that the analysis of *but*-exceptives in Gajewski's framework can be achieved using *unexceptional* machinery: the complement of *but* is F-marked and alternatives are computed after Fox & Katzir (2011); these alternatives are used up by an exhaustivity operator. To resolve the distribution puzzle, I have invoked felicity constraints on the application of Exh (NON-VACUITY) and felicity constraints on the distribution of quantifiers (e.g. ANTI-SINGLETON).

## 5 A further layer of the distribution puzzle: plural definites

I turn now to a further layer of the distribution puzzle: that *but* cannot occur with plural definites. It is clear that the deviance of (37) links to the *but*-exceptive, as (37) contrasts with (38), where *but John* is replaced with a relative clause conveying a similar meaning.

- (37) \*The students but John came.  
(38) The students who are not John came.                      (*awkward, but grammatical*)

The deviance of (37) is puzzling. Plural definites appear at first blush to have a parallel interpretation to universals and, as seen above, *but* can in general occur with universals. The

parallel is established in (39), where (39a-b) seem to convey the same meaning.

- (39) a. The students came.                      b. Every student came.

The question is: what difference is there between plural definites and universals that *but* interacts with? Brisson (1997) links the deviance of the exceptive in (37) to the fact that plural definites, unlike universals, are themselves tolerant of exceptions. (40a), for instance, can be true even if certain students did not participate in the raft building. Yet, if this were the whole story, (37) should improve in a context which rules out exceptions, contrary to fact in (40b).

- (40) a. The students built a raft.            b. The students (\*but John) came — every last one of them.

I argue that the current analysis *predicts* the deviance of (37) once effects of presupposition are taken into account.

### 5.1. Homogeneity

Plural definites differ from universals in that they show a *homogeneity effect*. To illustrate, consider (41). *The students* denotes the maximal salient plurality of students. (41a) says that every atomic student in that plurality came. Importantly, (41b) does not simply deny that every student came; it says that *no* students came.

- (41) a. The students came.                      b. The students didn't come.

This is the homogeneity effect: predicating of a plural definite yields true just in case *every* atomic element of the plurality satisfies the predicate, and false just in case *no* atomic element satisfies the predicate. If some atomic elements satisfy the predicate and others not, the predication yields neither true nor false. While the analysis of homogeneity is controversial, one approach is to encode homogeneity as a presupposition (Schwarzschild 1993, Löbner 2000), as I will assume.

### 5.2. Accounting for the deviance of (37)

The current analysis attributes to (37) the structure in (42). For the plural *students* to be felicitous in the preajcent, there must be at least two students who are not John ( $a_1$ - $a_n$ ).

- (42) Exh [the [students but John<sub>F</sub>]] came]

The preajcent is given in (43a), where *the students but John* denotes the maximal plurality of salient students who are not John ( $a_1+a_2+\dots+a_n$ ). Given homogeneity, the preajcent says that every atomic student in this plurality came. Since the first component of Exh's meaning is to assert the truth of the preajcent, (42) entails that  $a_1$  came, and that  $a_2$  came and so forth, as in (43b).

- (43) a. [[the [students but John]] came]                      b. came( $a_1$ ) & came( $a_2$ ) & ... & came( $a_n$ )

The alternatives are given in (44). The critical observation is that each alternative contains a plural definite and thus a homogeneity presupposition is triggered in each alternative. I suggest that these homogeneity presuppositions project, and show that the deviance of (37) follows.<sup>6</sup>

- (44) a. [[the [students but  $a_1$ ]] came]  
      b. [[the [students but  $a_2$ ]] came]  
      c. ...  
      d. [[the [students but  $a_n$ ]] came]

To see the problem, consider (44a-b). *The students but  $a_1$*  in (44) denotes the maximal

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<sup>6</sup> I am grateful to Irene Heim for pointing this out to me.

plurality of students who are not  $a_1$  (John+ $a_2$ +...+ $a_n$ ). Similarly, *the students but  $a_2$*  in (44b) denotes the plurality of students who are not  $a_2$  (John+ $a_1$ + $a_3$ +...+ $a_n$ ). Given homogeneity, if Exh negates (44a-b) entailments are introduced that each atomic element of these pluralities did not come: negating (44a) entails (45), and negating (44b) entails (46).

- (45)  $\neg \text{came}(\text{John}) \ \& \ \neg \text{came}(a_2) \ \& \ \neg \text{came}(a_3) \ \& \ \dots \ \& \ \neg \text{came}(a_n)$   
(46)  $\neg \text{came}(\text{John}) \ \& \ \neg \text{came}(a_1) \ \& \ \neg \text{came}(a_3) \ \& \ \dots \ \& \ \neg \text{came}(a_n)$

A contradiction has arisen. The prejacent entails that all of  $a_1$ - $a_n$  came, (43b). Taken together, negating (44a-b) entails that none of  $a_1$ - $a_n$  came: negating each one entails that  $a_3$ - $a_n$  did not come; negating (44b) entails that  $a_1$  did not come, (46), and negating (44a) entails that  $a_2$  did not come, (45). Hence, neither alternative is innocently excludable.

Although not proven, this extends to every other alternative in (44) as well with the result that Exh in (42) negates no alternatives, and (42) is ruled out by NON-VACUITY. So, the deviance of (37) is an effect of the homogeneity presupposition of plural definites coupled with NON-VACUITY.

## 6 Towards an analysis of *other than*

To conclude the paper, I briefly consider exceptive phrases formed with *other than*, which differ from those formed with *but* in two ways. First: *other than* does not introduce a negative entailment. This is illustrated with (47): (47) entails that every student other than John came, but does not entail that John did not come. Both (47a) and (47b) are acceptable continuations for (47). Second: *other than* can occur with non-universal quantifiers, as in (48).

- (47) Every student other than John came. a. And John didn't come. b. And in fact John came too.  
(48) Some student(s) other than John came.

According to the current analysis, the negative entailment (section 3.3) and distributional restrictions (sections 3.4-4) of *but*-exceptives both link to exhaustification. As such, the current analysis provides a natural way of understanding the relationship between *other than* and *but*. *Other than*, like *but*, denotes subtraction, as in (49). The difference is in exhaustification: whereas *but* obligatorily co-occurs with Exh, *other than* does not.

- (49)  $[[\text{other than}]] = [[\text{but}]] = \lambda X . \lambda Y . \neg \text{Overlap}(X, Y)$

Comparing (1) with *but* to (47) with *other than*, the LF for (1) is (50a) with Exh, while there is an available LF for (47) without Exh, as in (51a). Whereas (50a) has both the negative entailment and the otherness entailment, (51a) has only the otherness entailment: (51a) yields true just in case every student who isn't John came, and so is compatible with both (47a-b). Similarly, (52a) is an available LF for (48), and is perfectly acceptable with the entailment in (52b).

- (50) a. Exh [every student but John came]  
b.  $\neg \text{came}(\text{John})(w_0)$       c.  $\forall x [( \text{student}(x)(w_0) \ \& \ x \neq \text{John} ) \rightarrow \text{came}(x)(w_0)]$   
(51) a. [every student other than John came]    b.  $\forall x [( \text{student}(x)(w_0) \ \& \ x \neq \text{John} ) \rightarrow \text{came}(x)(w_0)]$   
(52) a. [some student other than John came]    b.  $\exists x [ \text{student}(x)(w_0) \ \& \ x \neq \text{John} \ \& \ \text{came}(x)(w_0)]$

Given this analysis, a question arises: are *other than*-exceptives *never* exhaustified, or are they *optionally* exhaustified? Building on Chierchia et al. (2009), I probe for the possibility of Exh by embedding (47) in a disjunction and capitalizing on an independent constraint on disjunction: Hurford's (1967) Constraint (HC), which holds that a disjunction is infelicitous if one disjunct entails the other. HC is violated in (53), for instance, since the second disjunct entails the first.

- (53) #John was born in France or in Paris.

To test for whether *other than* optionally co-occurs with Exh, consider the disjunction in (54):

(54) Either **every student other than John came**, or every student came including John.

If the first disjunct in (54) is parsed without Exh, HC is violated: the first disjunct says that every student who is not John came, which is entailed by the second disjunct. If the first disjunct is parsed with Exh, HC is respected: the first disjunct additionally carries the negative entailment that John did not come, which is not entailed by the second disjunct. The empirical fact is that (54) is perfectly felicitous — indicating that a parse with Exh is available. Hence, I suggest that *but* obligatorily occurs with Exh while *other than* optionally occurs with Exh.

Note that (55), unlike (54), is infelicitous, and this is correctly predicted. Because Exh is blocked with *some*, the only available parse of the first disjunct in (55) is without Exh. The first disjunct says that some student who is not John came, which the second disjunct entails, leading to a violation of HC. The distribution of HC violations ((54) vs. (55)) tracks the distribution of Exh.

(55) #Either **some students other than John came**, or John and some other students came.

## 7 Conclusion

I have defended the unexceptional hypothesis, and resolved problems which arise in accounting for the distribution puzzle: the deviance of *but* with *some*, *three*, and *most* is due to an interplay of NON-VACUITY and quantifier-specific felicity constraints; the deviance of *but* with plural definites is explained by effects of presupposition. I have also provided a preliminary discussion of exceptives formed with *other than*, which I argued are only optionally exhaustified.

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