

Journal Pre-proof



Signals of significantly increased vaccine breakthrough, decreased hospitalization rates, and less severe disease in patients with COVID-19 caused by the Omicron variant of SARS-CoV-2 in Houston, Texas

Paul A. Christensen, Randall J. Olsen, S. Wesley Long, Richard Snehal, James J. Davis, Matthew Ojeda Saavedra, Kristina Reppond, Madison N. Shyer, Jessica Cambric, Ryan Gadd, Rashi M. Thakur, Akanksha Batajoo, Regan Mangham, Sindy Pena, Trina Trinh, Jacob C. Kinskey, Guy Williams, Robert Olson, Jimmy Gollihar, James M. Musser

PII: S0002-9440(22)00044-X

DOI: <https://doi.org/10.1016/j.ajpath.2022.01.007>

Reference: AJPA 3704

To appear in: *The American Journal of Pathology*

Received Date: 4 January 2022

Revised Date: 18 January 2022

Accepted Date: 20 January 2022

Please cite this article as: Christensen PA, Olsen RJ, Long SW, Snehal R, Davis JJ, Saavedra MO, Reppond K, Shyer MN, Cambric J, Gadd R, Thakur RM, Batajoo A, Mangham R, Pena S, Trinh T, Kinskey JC, Williams G, Olson R, Gollihar J, Musser JM, Signals of significantly increased vaccine breakthrough, decreased hospitalization rates, and less severe disease in patients with COVID-19 caused by the Omicron variant of SARS-CoV-2 in Houston, Texas, *The American Journal of Pathology* (2022), doi: <https://doi.org/10.1016/j.ajpath.2022.01.007>.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

Copyright © 2022 Published by Elsevier Inc. on behalf of the American Society for Investigative Pathology.

1 **Signals of significantly increased vaccine breakthrough,**
2 **decreased hospitalization rates, and less severe disease in**
3 **patients with COVID-19 caused by the Omicron variant of**
4 **SARS-CoV-2 in Houston, Texas**

5
6
7 Paul A. Christensen,^{*,†,‡,§} Randall J. Olsen,^{*,†,‡,§} S. Wesley Long,^{*,†,‡,§} Richard Snehal,[†]
8 James J. Davis,^{¶,**} Matthew Ojeda Saavedra,[†] Kristina Reppond,[†] Madison N. Shyer,[†]
9 Jessica Cambric,[†] Ryan Gadd,[†] Rashi M. Thakur,[†] Akanksha Batajoo,[†] Regan
10 Mangham,[†] Sindy Pena,[†] Trina Trinh,[†] Jacob C. Kinskey,[†] Guy Williams,[†] Robert
11 Olson,^{¶,**} Jimmy Gollihar,[‡] James M. Musser^{†,‡,§,††}

12
13 **Contributed equally;*

14 *†Laboratory of Human Molecular and Translational Human Infectious Diseases and*

15 *‡Laboratory of Antibody Discovery and Accelerated Protein Therapeutics, Center for*

16 *Infectious Diseases, Houston Methodist Research Institute and Department of*

17 *Pathology and Genomic Medicine, Houston Methodist Hospital, Houston, Texas;*

18 *§Department of Pathology and Laboratory Medicine, Weill Cornell Medical College, New*

19 *York, New York;*

20 *¶Consortium for Advanced Science and Engineering, University of Chicago, Chicago,*

21 *Illinois;*

22 *** Computing, Environment and Life Sciences, Argonne National Laboratory, Lemont,*
23 *Illinois;*

24

25 *†† Address correspondence to James M. Musser, M.D., Ph.D., Department of Pathology*
26 *and Genomic Medicine, Houston Methodist Research Institute, 6565 Fannin Street,*
27 *Suite B490, Houston, Texas 77030. Tel: 713.441.5890, E-mail:*

28 jmmusser@houstonmethodist.org

29

30 Running head: Omicron variant in Houston, Texas

31

32 Disclosures: None.

33

34 Funding: This project was supported by the Houston Methodist Academic Institute
35 Infectious Diseases Fund; and in part with funds from the National Institute of Allergy
36 and Infectious Diseases, National Institutes of Health, Department of Health and Human
37 Services, under Contract No. 75N93019C00076 (J.J.D.).

38

39 Number of text pages: 13

40 Number of figures: 2

41 Number of tables: 2

42 Abstract

43 Genetic variants of SARS-CoV-2 continue to dramatically alter the landscape of the
44 COVID-19 pandemic. The recently described variant of concern designated Omicron
45 (B.1.1.529) has rapidly spread worldwide and is now responsible for the majority of
46 COVID-19 cases in many countries. Because Omicron was recognized very recently,
47 many knowledge gaps exist about its epidemiology, clinical severity, and disease
48 course. A genome sequencing study of SARS-CoV-2 in the Houston Methodist
49 healthcare system identified 4,468 symptomatic patients with infections caused by
50 Omicron from late November 2021 through January 5, 2022. Omicron very rapidly
51 increased in only three weeks to cause 90% of all new COVID-19 cases, and at the end
52 of the study period caused 98% of new cases. Compared to patients infected with either
53 Alpha or Delta variants in our healthcare system, Omicron patients were significantly
54 younger, had significantly increased vaccine breakthrough rates, and were significantly
55 less likely to be hospitalized. Omicron patients required less intense respiratory support
56 and had a shorter length of hospital stay, consistent with on average decreased disease
57 severity. Two patients with Omicron “stealth” sublineage BA.2 also were identified. The
58 data document the unusually rapid spread and increased occurrence of COVID-19
59 caused by the Omicron variant in metropolitan Houston, and address the lack of
60 information about disease character among US patients.

61 Introduction

62
63
64 Over the last 14 months, the Alpha and Delta variants of concern (VOCs) of SARS-
65 CoV-2 have caused two distinct COVID-19 disease surges in the United States,
66 Southeast Asia, Europe, and elsewhere ([https://www.cdc.gov/coronavirus/2019-
67 ncov/cases-updates/variant-surveillance/variant-info.html](https://www.cdc.gov/coronavirus/2019-ncov/cases-updates/variant-surveillance/variant-info.html)], last accessed December 30,
68 2021; <https://www.gov.uk/government/collections/new-sars-cov-2-variant>, last accessed
69 December 30, 2021), and remodeled the landscape of human behavior and many
70 societies. Delta replaced the Alpha variant as the cause of virtually all COVID-19 in
71 many countries ([https://www.who.int/publications/m/item/weekly-epidemiological-
72 update-on-covid-19---13-july-2021](https://www.who.int/publications/m/item/weekly-epidemiological-update-on-covid-19---13-july-2021), last accessed August 18, 2021;
73 [https://www.ons.gov.uk/peoplepopulationandcommunity/healthandsocialcare/conditions
74 anddiseases/bulletins/coronaviruscovid19infectionsurveyspilot/9july2021](https://www.ons.gov.uk/peoplepopulationandcommunity/healthandsocialcare/conditionsanddiseases/bulletins/coronaviruscovid19infectionsurveyspilot/9july2021), last accessed
75 August 18, 2021).

76 At the start of the pandemic almost two years ago, the Houston Methodist
77 healthcare system instituted a comprehensive and integrated population genomics
78 project designed to sequence all SARS-CoV-2 samples causing COVID-19 in patients
79 cared for at our facilities, which include eight hospitals located throughout the
80 metroplex. The project was implemented when the initial Houston Methodist COVID-19
81 case was diagnosed at the end of February 2020, and has continued unabated¹⁻⁷. This
82 project was facilitated by the existence of a single large diagnostic laboratory that
83 serves the entire system and is seamlessly integrated with a research institute with
84 extensive genomics expertise and capacity. A key goal was to comprehensively map
85 the population genomics, trajectory, and other features of the pandemic in metropolitan

86 Houston with a population size of approximately 7.2 million. Houston is the fourth
87 largest city in the United States, the most ethnically diverse metropolitan area in the
88 country, and is a major port of entry. To date, SARS-CoV-2 genomes have been
89 sequenced from greater than 70,000 patient samples. Many features of four distinct
90 SARS-CoV-2 waves in Houston have been described²⁻⁶.

91 The successes of rapid SARS-CoV-2 vaccine development and documented
92 efficacy, coupled with the significant downturn of the disease wave caused by Delta in
93 Houston and elsewhere in fall, 2021⁶, suggested that the pandemic was abating.
94 However, the identification of a new VOC designated B.1.1.529 and known as Omicron
95 that has spread rapidly in South Africa and the UK has tempered this optimism⁸⁻¹⁰.
96 Inasmuch as Omicron was recognized very recently, and much is not known about its
97 epidemiology and clinical characteristics and course, we used our integrated
98 infrastructure in an effort to address the lack of information available for United States
99 Omicron patients. Genome sequencing identified 4,468 COVID-19 patients with
100 symptomatic disease caused by Omicron in the Houston Methodist healthcare system
101 beginning in late November 2021 and ending January 5, 2022. In three weeks Omicron
102 spread throughout the Houston metropolitan region to become the cause of 90% of new
103 COVID-19 cases, and at the end of the study period caused 98% of all new cases.
104 Compared to patients infected with either Alpha or Delta variants and cared for in our
105 system, significantly fewer Omicron patients were hospitalized, and those who were
106 hospitalized required significantly less intense respiratory support and had a shorter
107 length of stay. Our findings are consistent with decreased disease severity among
108 Houston Methodist Omicron patients. Many factors undoubtedly have contributed,

109 including but not limited to increased vaccination uptake, population immunity, and
110 patient demographics such as younger age. The extent to which our findings translate
111 to other cities and other patient populations, including children, is unknown. These data
112 expand on our initial Omicron work⁷ and address the lack of information about disease
113 character among US patients with COVID-19 caused by this VOC.

114

115 **Materials and Methods**

116

117 **Patient Specimens**

118

119 Specimens were obtained from patients registered at Houston Methodist facilities (e.g.,
120 hospitals and urgent care centers), and institutions in the Houston metropolitan region
121 that use our laboratory services. The great majority of individuals had signs or
122 symptoms consistent with COVID-19 disease. For analyses focusing on patients with
123 COVID-19 caused by the Omicron variant, samples obtained from November 27, 2021
124 through January 5, 2022 were used. This time frame was chosen because it represents
125 the period during which an Omicron variant was first identified in our healthcare system
126 and the last date of specimen collection used to generate genome sequence data for
127 this manuscript. Note that the genome data were generated for two distinct sampling
128 periods. The first period included November 27, 2021 through December 23, 2021 and
129 the second period included samples obtained between December 30, 2021 through
130 January 5, 2022. This discontinuous sampling strategy was used in an effort to obtain

131 the most up-to-date data available for inclusion in this study. Because of the substantial
132 number of positive specimens obtained daily in the December 24, 2021 to December
133 29, 2021 period (sometimes exceeding 1,500) it wasn't possible to sequence most of
134 the samples collected during this period for inclusion in the study.

135 For analyses comparing features of patients infected with the Omicron VOC and
136 Alpha and Delta VOCs, all patients documented to be infected with these variants in the
137 Houston Methodist system were studied. The study included 40,991 unique patients
138 identified in this time frame for whom we had SARS-CoV-2 genome sequences. The
139 work was approved by the Houston Methodist Research Institute Institutional Review
140 Board (IRB1010-0199).

141

142 SARS-CoV-2 Molecular Diagnostic Testing

143

144 Specimens obtained from symptomatic patients with a suspicion for COVID-19 disease
145 were tested in the Molecular Diagnostics Laboratory at Houston Methodist Hospital
146 using assays granted Emergency Use Authorization (EUA) from the FDA
147 ([https://www.fda.gov/medical-devices/emergency-situations-medical-devices/faqs-
148 diagnostic-testing-sars-cov-2#offeringtests](https://www.fda.gov/medical-devices/emergency-situations-medical-devices/faqs-diagnostic-testing-sars-cov-2#offeringtests), last accessed June 7, 2021). Multiple
149 molecular testing platforms were used, including the COVID-19 test or RP2.1 test with
150 BioFire Film Array instruments, the Xpert Xpress SARS-CoV-2 test using Cepheid
151 GeneXpert Infinity or Cepheid GeneXpert Xpress IV instruments, the Cobas SARS-
152 CoV-2 & Influenza A/B Assay using the Roche Liat system, the SARS-CoV-2 Assay
153 using the Hologic Panther instrument, the Aptima SARS-CoV-2 Assay using the Hologic

154 Panther Fusion system, the Cobas SARS-CoV-2 test using the Roche 6800 system,
155 and the SARS-CoV-2 assay using Abbott Alinity m instruments. Virtually all tests were
156 performed on material obtained from nasopharyngeal swabs immersed in universal
157 transport media (UTM); oropharyngeal or nasal swabs, bronchoalveolar lavage fluid, or
158 sputum treated with dithiothreitol (DTT) were sometimes used. Standardized specimen
159 collection methods were used (<https://vimeo.com/396996468/2228335d56>, last
160 accessed June 7, 2021).

161

162 SARS-CoV-2 Genome Sequencing, Genome Analysis, and Identification of 163 Variants

164

165 We sequenced the SARS-CoV-2 genome of >90% of all positive cases in the Houston
166 Methodist healthcare system during the two sampling periods studied. Libraries for
167 whole SARS-CoV-2 genome sequencing were prepared according to version 4
168 (<https://community.artic.network/t/sars-cov-2-version-4-scheme-release/312>, last
169 accessed August 19, 2021) of the ARTIC nCoV-2019 sequencing protocol. The semi-
170 automated workflow used has been described previously²⁻⁶. Sequence reads were
171 generated with an Illumina NovaSeq 6000 instrument.

172 Viral genomes were assembled with the BV-BRC SARS-Cov2 assembly service
173 (<https://www.bv-brc.org/app/ComprehensiveSARS2Analysis>, last accessed June 7,
174 2021, requires registration). The pipeline currently uses seqtk version 1.3-r117 for
175 sequence trimming (<https://github.com/lh3/seqtk.git>, last accessed December 30, 2021)
176 and minimap version 2.17 for aligning reads against the Wuhan-Hu-1 (NC_045512.2)

177 reference genome. Samtools version 1.11 was used for sequence and file manipulation,
178 where maximum depth and minimum depth parameters in mpileup were set to 8,000
179 and 3, respectively. iVar version 1.3.1 was used for primer trimming and variant calling.
180 Genetic lineages, VOCs, and variants of interest (VOIs) were identified based on
181 genome sequence data and designated by Pangolin v. 3.1.17 with pangoleARN
182 module 2021-12-06 (<https://cov-lineages.org/resources/pangolin.html>, last accessed
183 December 12, 2021). Genome data used in this study have been deposited to
184 GISAID www.gisaid.org (see Supplemental Table 1).

185

186 S-Gene Target-Failure Assay

187

188 An S-gene target-failure (SGTF) assay (TaqPath COVID-19 Combo Kit Thermo Fisher,
189 Inc.), was used as a surrogate marker for the Omicron VOC for some specimens
190 collected between December 18, 2021 and January 5, 2022. From November 1, 2021
191 onward, only Delta and Omicron were documented to be circulating in metropolitan
192 Houston, based on whole-genome sequence data. Patient samples were first tested in
193 the clinical Molecular Diagnostics Laboratory using a RT-PCR assay with an
194 Emergency Use Authorization as described above. The SARS-CoV-2 positive samples
195 were then tested with the SGTF assay according to the manufacturer's instructions to
196 infer an Omicron or not-Omicron lineage. That is, the SGTF assay was only performed
197 on samples known to be positive for SARS-CoV-2. Samples yielding amplification of the
198 S-gene were classified as a Delta variant. The SGTF data were validated based on
199 comparing the results with our extensive genome sequence data.

200

201

202 Patient Metadata and Geospatial Analysis

203

204 Patient metadata were acquired from the electronic medical record by standard
205 informatics methods. Figures showing geospatial distribution of spread for Omicron
206 were generated with Tableau version 2021.2.7 (Tableau Software, LLC, Seattle, WA)
207 using patient home address zip codes. A vaccination breakthrough case was defined as
208 a PCR-positive sample from a patient obtained greater than 14 days after full
209 vaccination (e.g., both doses of the Pfizer or Moderna mRNA vaccines) was completed.
210 A booster vaccination breakthrough case was defined as a PCR-positive sample from a
211 patient obtained greater than 14 days after receiving a third vaccine dose. For some
212 cases, manual chart review was conducted to resolve discrepancies or clarify
213 ambiguities.

214

215

216 Results

217

218 Omicron Epidemiologic Wave

219

220 The first Houston Methodist patient infected with an Omicron variant was identified at
221 the end of November 2021, a time when the Delta VOC was responsible for all COVID-

222 19 cases in metropolitan Houston⁶. During this period, the metropolitan area was
223 experiencing a steady decrease in total number of new COVID-19 cases (**Figure 1**,
224 **Figure 2**).

225 Omicron increased in frequency unusually rapidly over a three-week period in
226 December (**Figure 1**, **Figure 2**). By December 23, the genome sequence data showed
227 that Omicron accounted for >90% of all new COVID-19 cases in our healthcare system
228 (**Figure 2**). The estimated case doubling time during this three-week period was
229 approximately 1.8 days (**Figure 2**), which means that Omicron increased in relative
230 frequency approximately three times faster than Delta had increased in our area⁶, an
231 unprecedented trajectory for SARS-COV-2 infections. By January 5, 2022, the Omicron
232 variant caused 98% of all new COVID-19 cases diagnosed in our healthcare system
233 (**Figure 2**). This represents the fifth wave of COVID-19 cases in metropolitan Houston
234 (**Figure 1**).

235 Consistent with extensive infections caused by Omicron in southern Africa and
236 elsewhere ([https://www.cdc.gov/coronavirus/2019-ncov/variants/variant-](https://www.cdc.gov/coronavirus/2019-ncov/variants/variant-classifications.html)
237 [classifications.html](https://www.cdc.gov/coronavirus/2019-ncov/variants/variant-classifications.html), last accessed December 28, 2021;
238 <https://www.gov.uk/government/collections/new-sars-cov-2-variant>, last accessed
239 December 28, 2021), several patients had very recent travel histories to countries with a
240 high prevalence of this VOC, suggesting acquisition of virus by some cases from abroad
241 and importation into Houston. However, the vast majority of Omicron patients had no
242 documented travel outside the US and undoubtedly acquired the infection domestically,
243 either in Houston or elsewhere.

244 To understand the geospatial distribution of Omicron in metropolitan Houston,
245 patient metadata were acquired from the electronic medical record by standard
246 informatics methods, and home address zip codes were used to visualize virus spread
247 (**Figure 2**). The 4,468 Houston Methodist patients infected with Omicron during this
248 period were distributed widely throughout metropolitan Houston, with 259 different zip
249 codes represented (**Figure 2**). The widespread distribution of Omicron in the Houston
250 metroplex in an extremely short period of time reflects the ability of this variant to spread
251 unusually rapidly and effectively between individuals, and cause symptomatic disease.

252

253 Comparison of Omicron, Alpha, and Delta COVID-19 Cases

254

255 There is a considerable lack of detailed information about patients with COVID-19
256 caused by the Omicron VOC, and data are especially lacking for US patients. We
257 compared available metadata for all Houston Methodist patients infected with Omicron,
258 Alpha, and Delta VOCs (**Table 1, Table 2**). The populations differed significantly in
259 many characteristics, including median age, hospital admission rates, maximum
260 respiratory support, rate of vaccine breakthrough, and median length of stay (**Table 1,**
261 **Table 2**).

262 Patients infected with Omicron were significantly younger than Alpha and Delta
263 patients (**Table 1, Table 2**). Importantly, Omicron patients were hospitalized significantly
264 less frequently than patients infected with either the Alpha or Delta variants, and had a
265 significantly shorter median hospital length of stay (**Table 1, Table 2**).

266 We next analyzed Omicron vaccine breakthrough cases (**Table 1, Table 2**). We
267 found 2,497 of the 4,468 total Omicron patients (55.9%) for whom we have whole
268 genome sequence data met the CDC definition of vaccine breakthrough cases (**Table 1,**
269 **Table 2**). There was no simple relationship between the time elapsed since
270 administration of the second vaccination dose and the date of vaccination breakthrough.
271 These 2,497 patients received either two doses of the Pfizer-BioNTech BNT162b2 ($n =$
272 1828, 73%) or Moderna mRNA-1273 ($n = 553$, 22%), or one dose of J&J/Janssen JNJ-
273 78436735 ($n = 115$, 5%) vaccine; vaccine type was not specified for one individual. This
274 distribution reflects the majority use of BNT162b2 vaccination doses in our health
275 system. Compared to either Alpha or Delta patients, a significantly greater percentage
276 of patients with breakthrough cases was caused by the Omicron VOC (55.9% compared
277 to 3.2% and 24.3% for Alpha and Delta VOCs, respectively) (**Table 1, Table 2**). We
278 next analyzed individuals with breakthrough cases after receiving a third (booster) dose
279 of either the Pfizer-BioNTech BNT162b2 or Moderna mRNA-1273 vaccine. We found
280 that 711 (15.9%) of the 4,468 Omicron patients met this criteria. Consistent with
281 Omicron causing a significantly increased number of vaccine breakthrough cases, many
282 studies have reported that this variant has reduced sensitivity to antibody neutralization
283 *in vitro*, likely in large part due to the extensive number of amino acid and other
284 structural changes occurring in Omicron spike protein¹¹⁻³⁴.

285

286 Spike-Gene Target-Failure Assay

287

288 To estimate Omicron variant frequency in patient samples not yet sequenced, we
289 performed the TaqPath COVID-19 Combo Kit assay (ThermoFisher) on 1,216 samples
290 collected from symptomatic patients between December 18, 2021 and January 5, 2022
291 In total, 1,093 (90%) of patient samples yielded an RT-PCR result with S-gene target-
292 failure indicative of the Omicron variant. These data are consistent with the increasing
293 frequency of new cases of COVID-19 caused by Omicron in our population (**Figure 2**).

294

295 Discovery of Omicron “Stealth” Sublineage BA.2 in Houston

296

297 The Omicron sublineage BA.2 was first identified in November 2021 in Australia in a
298 patient who had traveled to South Africa ([https://github.com/cov-lineages/pango-](https://github.com/cov-lineages/pango-designation/issues/359)
299 [designation/issues/359](https://github.com/cov-lineages/pango-designation/issues/359); last accessed December 30, 2021). This sublineage does not
300 have the full set of polymorphisms characteristic of BA.1 (B.1.1.529) and also has
301 additional mutations unique to it ([https://github.com/cov-lineages/pango-](https://github.com/cov-lineages/pango-designation/issues/361)
302 [designation/issues/361](https://github.com/cov-lineages/pango-designation/issues/361); last accessed December 30, 2021). One important difference is
303 that sublineage BA.2 lacks the spike gene deletion in the region encoding amino acid
304 69/70 which means that it will not be detected by the SGTF assay. As a consequence, it
305 is sometimes referred to as the Omicron “stealth” variant. We inspected all full genome
306 sequences present in our large database, including specimens obtained from
307 symptomatic patients and asymptomatic individuals, and discovered only two members
308 of the BA.2 sublineage in Houston COVID-19 patients.

309

310 Discussion

311

312 This work was conducted to address the relative lack of information about disease
313 character among US patients with COVID-19 caused by the Omicron VOC, and to
314 compare our findings with data available for patients in the Houston Methodist system
315 who had disease caused by the Alpha and Delta VOCs. We describe information
316 relevant to the massive Omicron wave in metropolitan Houston. In three weeks
317 (December 1, 2021 through December 23, 2021), Omicron was first identified in our
318 population and rapidly increased to cause 90% of all new COVID-19 cases, with an
319 unusually fast case doubling time of 1.8 days. Analysis of samples obtained from
320 December 30, 2021 to January 5, 2022 found that at the end of the sampling period
321 Omicron caused 98% of all new COVID-19 cases in our healthcare system.

322 The study was based on genome sequence analysis of 4,468 Omicron samples
323 taken from socioeconomically, geographically, and ethnically diverse symptomatic
324 patients. Several key findings were made, including (i) the Omicron VOC rapidly
325 increased as a cause of COVID-19 and spread throughout the metroplex in an
326 unusually short period of time, far faster than any other SARS-CoV-2 variant; (ii)
327 Omicron caused significantly more vaccine breakthrough cases than the Alpha or Delta
328 VOCs; (iii) Omicron patients were significantly younger than Alpha or Delta patients; (iv)
329 significantly fewer Omicron patients required hospitalization compared to Alpha and
330 Delta patients; (v) the median length of stay for hospitalized Omicron patients was
331 significantly shorter than for Alpha and Delta patients, and consistent with this
332 observation, on average the maximum respiratory support required for Omicron patients

333 was significantly less than for Alpha or Delta patients. Our findings are largely
334 consistent with many aspects of Omicron data reported from the UK, South Africa, and
335 Canada^{8-10, 35-38}, and are consistent with experimental animal infection data suggesting
336 that Omicron causes less severe disease in mice and hamsters³⁹⁻⁴³. This study was
337 facilitated by a comprehensive and integrated population genomics and epidemiology
338 project²⁻⁶ implemented at the end of February 2020, when the initial COVID-19 case
339 was diagnosed in the Houston Methodist healthcare system.

340 Several questions arise from our findings, namely the underlying causes for the
341 differences we observe in Omicron compared to Alpha and Delta patients. Increased
342 vaccine breakthrough cases may be due to serologic and structural differences in
343 Omicron relative to Alpha and Delta. It is also possible that waning of immunity is a
344 contributing factor as well. We do not currently have serologic or other data that could
345 address this possibility in our patients. As noted above, ample *in vitro* and animal
346 infection model data have accumulated suggesting that Omicron is less virulent than
347 Delta or Alpha VOC. We speculate that the lower age of Omicron patients may be
348 attributable to a disproportionately greater likelihood of risky behaviors in the younger
349 population, for example less mask wearing and less social distancing. Regardless,
350 additional studies are required to gain more information about factors contributing to the
351 differences between Alpha, Delta, and Omicron patients that we identified in this study.

352 Because we sequence the genome of approximately 90% of SARS-CoV-2
353 causing COVID-19 in our diverse Houston Methodist patient population, and have done
354 so for almost two years, we are continuously monitoring the composition of this virus in
355 a major US metroplex. This affords us the opportunity to rapidly assess changes in

356 SARS-CoV-2 population genomic structure in the fourth largest city in the US. However,
357 our study has several limitations. Although we sequenced the genomes of SARS-CoV-2
358 causing 90% of all Houston Methodist COVID-19 cases in the study period, this sample
359 represents only approximately 5% of cases reported in the metropolitan region. Our
360 patient population will underrepresent some demographic groups, for example
361 homeless individuals and pediatric patients. The samples sequenced in this study were
362 obtained from symptomatic individuals, which means that it is possible that we failed to
363 identify Omicron subvariants or features preferentially represented in asymptomatic
364 individuals. It is likely that our study included some patients where Omicron was
365 detected on hospital admission but was incidental to the primary cause of admission.

366 The identification of two asymptomatic individuals with the Omicron “stealth”
367 sublineage BA.2 is potentially concerning and stresses the importance of using whole-
368 genome sequencing to study patient samples. This sublineage lacks the spike gene
369 deletion corresponding to amino acids 69 and 70 and is not detected by some
370 commonly used assays. Sublineage BA.2 now accounts for approximately 5% of
371 COVID-19 in the UK, which means that it has the ability to successfully transmit and
372 cause disease⁴⁴. It will be important to determine if this SARS-CoV-2 genotype
373 increases in frequency in metropolitan Houston as additional genome sequencing is
374 conducted on samples from our patient population.

375 In the aggregate, our data add critical new information to features of Omicron
376 genomic epidemiology and patient characteristics in the US. Further, the present study
377 highlights the importance of analyzing SARS-CoV-2 genome data integrated with

378 patient metadata and stresses the need to continue to do this in near-real time as the
379 Omicron surge continues, the virus evolves, and new variants with potentially altered
380 fitness and biomedically relevant phenotypes are generated. Analyses of this type are
381 also important in the context of vaccine formulation and long COVID, an increasing
382 health and economic problem globally. Finally, the strategy we have used in this and
383 previous studies²⁻⁶ are readily applicable to future infectious diseases problems that
384 warrant special attention.

385

386 **Acknowledgments**

387

388 We thank Drs. Marc Boom and Dirk Sostman for their ongoing support, and Dr. Sasha
389 M. Pejerrey for editorial contributions. The research was supported by the Houston
390 Methodist Academic Institute Infectious Diseases Fund and many generous Houston
391 philanthropists. The funders had no role in the design and conduct of the study;
392 collection, management, analysis, and interpretation of the data; preparation, review, or
393 approval of the manuscript; and decision to submit the manuscript for publication.

394

395

396

397

398

399

400 Author Contributions

401

402 P.A.C., R.J.O., S.W.L., and J.M.M. had full access to all study data and take
403 responsibility for the integrity of the data and the accuracy of the data analysis; concept
404 and design by J.M.M., P.A.C., R.J.O., and S.W.L; data acquisition, analysis, or
405 interpretation by all authors; drafting of the manuscript by all authors; statistical analysis
406 by P.A.C.; funding obtained by J.M.M. and J.J.D.; and overall supervision by J.M.M.
407 P.A.C., R.J.O., and S.W.L. contributed equally and are co-first authors.

408 **References**

- 409 [1] Dhar MS, Marwal R, Vs R, Ponnusamy K, Jolly B, Bhojar RC, et al.: Genomic
410 characterization and epidemiology of an emerging SARS-CoV-2 variant in Delhi, India.
411 Science 2021, 374:995-999
- 412 [2] Long SW, Olsen RJ, Christensen PA, Bernard DW, Davis JJ, Shukla M, Nguyen M,
413 Saavedra MO, Yerramilli P, Pruitt L, Subedi S, Kuo HC, Hendrickson H, Eskandari G,
414 Nguyen HAT, Long JH, Kumaraswami M, Goike J, Boutz D, Gollihar J, McLellan JS,
415 Chou CW, Javanmardi K, Finkelstein IJ, Musser JM: Molecular Architecture of Early
416 Dissemination and Massive Second Wave of the SARS-CoV-2 Virus in a Major
417 Metropolitan Area. mBio 2020, 11
- 418 [3] Musser JM, Olsen RJ, Christensen PA, Long SW, Subedi S, Davis JJ, Gollihar J:
419 Rapid, widespread, and preferential increase of SARS-CoV-2 B.1.1.7 variant in
420 Houston, TX, revealed by 8,857 genome sequences. medRxiv 2021 [Preprint].
421 doi:2021.2003.2016.21253753
- 422 [4] Olsen RJ, Christensen PA, Long SW, Subedi S, Hodjat P, Olson R, Nguyen M,
423 Davis JJ, Yerramilli P, Saavedra MO, Pruitt L, Reppond K, Shyer MN, Cambric J, Gadd
424 R, Thakur RM, Batajoo A, Finkelstein IJ, Gollihar J, Musser JM: Trajectory of Growth of
425 Severe Acute Respiratory (SARS-CoV-2) Syndrome Coronavirus 2 Variants in Houston,
426 Texas, January through May 2021, Based on 12,476 Genome Sequences. Am J Pathol
427 2021, Oct;191(10):1754-1773
- 428 [5] Long SW, Olsen RJ, Christensen PA, Subedi S, Olson R, Davis JJ, Saavedra MO,
429 Yerramilli P, Pruitt L, Reppond K, Shyer MN, Cambric J, Finkelstein IJ, Gollihar J,
430 Musser JM: Sequence Analysis of 20,453 SARS-CoV-2 Genomes from the Houston

431 Metropolitan Area Identifies the Emergence and Widespread Distribution of Multiple
432 Isolates of All Major Variants of Concern. *Am J Pathol* 2021, Nov 11;S0002-
433 9440(21)00480-6

434 [6] Christensen PA, Olsen RJ, Long SW, Subedi S, Davis JJ, Hodjat P, Walley DR,
435 Kinskey JC, Saavedra MO, Pruitt L, Reppond K, Shyer MN, Cambric J, Gadd R, Thakur
436 RM, Batajoo A, Mangham R, Pena S, Trinh T, Yerramilli P, Nguyen M, Olson R, Snehal
437 R, Gollihar J, Musser JM: Delta Variants of SARS-CoV-2 Cause Significantly Increased
438 Vaccine Breakthrough COVID-19 Cases in Houston, Texas. *Am J Pathol* 2021 Nov
439 11:S0002-9440(21)00480-6

440 [7] Christensen PA, Olsen RJ, Long SW, Snehal R, Davis JJ, Saavedra MO, Reppond
441 K, Shyer MN, Cambric J, Gadd R, Thakur RM, Batajoo A, Mangham R, Pena S, Trinh T,
442 Kinskey JC, Williams G, Olson R, Gollihar J, Musser JM: Early signals of significantly
443 increased vaccine breakthrough, decreased hospitalization rates, and less severe
444 disease in patients with COVID-19 caused by the Omicron variant of SARS-CoV-2 in
445 Houston, Texas. *medRxiv* 2022. [Preprint]. doi:2021.2012.2030.21268560

446 [8] Viana R, Moyo S, Amoako DG, Tegally H, Scheepers C, Lessells RJ, et al.: Rapid
447 epidemic expansion of the SARS-CoV-2 Omicron variant in southern Africa. *medRxiv*
448 2021. [Preprint]. doi:2021.2012.2019.21268028

449 [9] Elliott P, Bodinier B, Eales O, Wang H, Haw D, Elliott J, Whitaker M, Jonnerby J,
450 Tang D, Walters CE, Atchison C, Diggle PJ, Page AJ, Trotter AJ, Ashby D, Barclay W,
451 Taylor G, Ward H, Darzi A, Cooke GS, Chadeau-Hyam M, Donnelly CA: Rapid increase
452 in Omicron infections in England during December 2021: REACT-1 study. *medRxiv*
453 2021. [Preprint]. doi:2021.2012.2022.21268252

- 454 [10] Sheikh AK, Steven; Woolhouse, Mark; McMenamin, Jim; Robertson, Chris. :
455 Severity of Omicron variant of concern and vaccine effectiveness against symptomatic
456 disease: national cohort with nested test negative design study in Scotland. The
457 University of Edinburgh 2021
- 458 [11] Meng B, Ferreira I, Abdullahi A, Kemp SA, Goonawardane N, Papa G, Fatihi S,
459 Charles O, Collier D, Collaboration C-NBC-, Consortium TGtPJ, Choi J, Hyeon Lee J,
460 Mlcochova P, James L, Doffinger R, Thukral L, Sato K, Gupta RK: SARS-CoV-2
461 Omicron spike mediated immune escape, infectivity and cell-cell fusion. bioRxiv 2021.
462 [Preprint]. doi:2021.2012.2017.473248
- 463 [12] Zeng C, Evans JP, Qu P, Faraone J, Zheng Y-M, Carlin C, Bednash JS, Zhou T,
464 Lozanski G, Mallampalli R, Saif LJ, Oltz EM, Mohler P, Xu K, Gumina RJ, Liu S-L:
465 Neutralization and Stability of SARS-CoV-2 Omicron Variant. bioRxiv 2021. [Preprint].
466 doi:2021.2012.2016.472934
- 467 [13] Jacobsen H, Strengert M, Maass H, Ynga Durand MA, Kessel B, Harries M, Rand
468 U, Abassi L, Kim Y, Lueddecke T, Hernandez P, Ortmann J, Heise J-K, Castell S,
469 Gornyk D, Gloeckner S, Melhorn V, Lange B, Dulovic A, Haering J, Junker D,
470 Schneiderhan-Marra N, Poehlmann S, Hoffmann M, Krause G, Cicin-Sain L: Diminished
471 neutralization responses towards SARS-CoV-2 Omicron VoC after mRNA or vector-
472 based COVID-19 vaccinations. medRxiv 2021. [Preprint].
473 doi:2021.2012.2021.21267898
- 474 [14] Eggink D, Andeweg SP, Vennema H, van Maarseveen N, Vermaas K, Vlaemynck
475 B, Schepers R, van Gageldonk-Lafeber AB, van den Hof S, Reusken CBEM, Knol MJ:
476 Increased risk of infection with SARS-CoV-2 Omicron compared to Delta in vaccinated

- 477 and previously infected individuals, the Netherlands, 22 November to 19 December
478 2021. medRxiv 2021. [Preprint]. doi:2021.2012.2020.21268121
- 479 [15] Edara V-V, Manning KE, Ellis M, Lai L, Moore KM, Foster SL, Floyd K, Davis-
480 Gardner ME, Mantus G, Nyhoff LE, Bechnack S, Alaaeddine G, Naji A, Samaha H, Lee
481 M, Bristow L, Hussaini L, Ciric CR, Nguyen P-V, Gagne M, Roberts-Torres J, Henry AR,
482 Godbole S, Grakoui A, Sexton M, Piantadosi A, Waggoner JJ, Douek DC, Anderson EJ,
483 Rouphael N, Wrammert J, Suthar MS: mRNA-1273 and BNT162b2 mRNA vaccines
484 have reduced neutralizing activity against the SARS-CoV-2 Omicron variant. bioRxiv
485 2021. [Preprint]. doi:2021.2012.2020.473557
- 486 [16] Zou j, Xia H, Xie X, Kurhade C, Machado RR, Weaver SC, Ren P, Shi P-Y:
487 Neutralization against Omicron SARS-CoV-2 from previous non-Omicron infection.
488 bioRxiv 2021. [Preprint]. doi:2021.2012.2020.473584
- 489 [17] Ikemura N, Hoshino A, Higuchi Y, Taminishi S, Inaba T, Matoba S: SARS-CoV-2
490 Omicron variant escapes neutralization by vaccinated and convalescent sera and
491 therapeutic monoclonal antibodies. medRxiv 2021. [Preprint].
492 doi:2021.2012.2013.21267761
- 493 [18] Dejnirattisai W, Shaw RH, Supasa P, Liu C, Stuart AS, Pollard AJ, Liu X, Lambe T,
494 Crook D, Stuart DI, Mongkolsapaya J, Nguyen-Van-Tam JS, Snape MD, Screaton GR:
495 Reduced neutralisation of SARS-CoV-2 omicron B.1.1.529 variant by post-immunisation
496 serum. Lancet 2021. (in press) doi:10.1016/s0140-6736(21)02844-0
- 497 [19] Cameroni E, Saliba C, Bowen JE, Rosen LE, Culap K, Pinto D, et al.: Broadly
498 neutralizing antibodies overcome SARS-CoV-2 Omicron antigenic shift. Nature
499 Research Briefing 2021. [Preprint]. doi:2021.2012.2012.472269

- 500 [20] Liu L, Iketani S, Guo Y, Chan JF-W, Wang M, Liu L, Luo Y, Chu H, Huang Y, Nair
501 MS, Yu J, Chik KK-H, Yuen TT-T, Yoon C, To KK-W, Chen H, Yin MT, Sobieszczyk ME,
502 Huang Y, Wang HH, Sheng Z, Yuen K-Y, Ho DD: Striking Antibody Evasion Manifested
503 by the Omicron Variant of SARS-CoV-2. Nature Research Briefing 2021. [Preprint].
504 doi:2021.2012.2014.472719
- 505 [21] Planas D, Saunders N, Maes P, Benhassine FG, Planchais C, Porrot F, Staropoli I,
506 Lemoine F, Pere H, Veyer D, Puech J, Rodary J, Bolland WH, Buchrieser J, Baele G,
507 Dellicour S, Raymenants J, Gorissen S, Geenen C, Vanmechelen B, Wawina T, Marti J,
508 Cuypers L, Seve A, Hocqueloux L, Prazuck T, Lorie ES, REY F, Bruel T, Mouquet H,
509 Andre E, Schwartz O: Considerable escape of SARS-CoV-2 variant Omicron to
510 antibody neutralization. Nature Research Briefing 2021. [Preprint].
511 doi:2021.2012.2014.472630
- 512 [22] Andrews N, Stowe J, Kirsebom F, Toffa S, Rickeard T, Gallagher E, Gower C, Kall
513 M, Groves N, O'Connell A-M, Simons D, Blomquist PB, Zaidi A, Nash S, Aziz NIBA,
514 Thelwall S, Dabrera G, Myers R, Amirthalingam G, Gharbia S, Barrett JC, Elson R,
515 Ladhani SN, Ferguson N, Zambon M, Campbell CN, Brown K, Hopkins S, Chand M,
516 Ramsay M, Bernal JL: Effectiveness of COVID-19 vaccines against the Omicron
517 (B.1.1.529) variant of concern. medRxiv 2021. [Preprint]. doi:2021.2012.2014.21267615
- 518 [23] Yu X, Wei D, Xu W, Li Y, Li X, Zhang X, Qu J, Yang Z, Chen E: Reduced sensitivity
519 of SARS-CoV-2 Omicron variant to booster-enhanced neutralization. medRxiv 2021.
520 [Preprint]. doi:2021.2012.2017.21267961
- 521 [24] Cele S, Jackson L, Khan K, Khoury DS, Moyo-Gwete T, Tegally H, Scheepers C,
522 Amoako D, Karim F, Bernstein M, Lustig G, Archary D, Smith M, Ganga Y, Jule Z,

523 Reedoy K, Cromer D, San JE, Hwa S-H, Giandhari J, Blackburn JM, Gosnell BI, Karim
524 SSA, Hanekom W, NGS-SA, Team C-K, von Gottberg A, Bhiman J, Lessells RJ, Moosa
525 M-YS, Davenport MP, de Oliveira T, Moore PL, Sigal A: SARS-CoV-2 Omicron has
526 extensive but incomplete escape of Pfizer BNT162b2 elicited neutralization and requires
527 ACE2 for infection. medRxiv 2021. [Preprint]. doi:2021.2012.2008.21267417

528 [25] Cao Y, Wang J, Jian F, Xiao T, Song W, Yisimayi A, Huang W, Li Q, Wang P, An
529 R, Wang J, Wang Y, Niu X, Yang S, Liang H, Sun H, Li T, Yu Y, Cui Q, Liu S, Yang X,
530 Du S, Zhang Z, Hao X, Shao F, Jin R, Wang X, Xiao J, Wang Y, Xie XS: B.1.1.529
531 escapes the majority of SARS-CoV-2 neutralizing antibodies of diverse epitopes. Nature
532 Research Briefing 2021. [Preprint]. doi:2021.2012.2007.470392

533 [26] Hansen CH, Schelde AB, Moustsen-Helms IR, Emborg H-D, Krause TG, Moelbak
534 K, Valentiner-Branth P, Institut TIDPGaSS: Vaccine effectiveness against SARS-CoV-2
535 infection with the Omicron or Delta variants following a two-dose or booster BNT162b2
536 or mRNA-1273 vaccination series: A Danish cohort study. medRxiv 2021. [Preprint].
537 doi:2021.2012.2020.21267966

538 [27] Syed AM, Ciling A, Khalid MM, Sreekumar B, Kumar GR, Silva I, Milbes B, Kojima
539 N, Hess V, Shacreaw M, Lopez L, Brobeck M, Turner F, Spraggon L, Taha TY, Tabata
540 T, Chen IP, Ott M, Doudna JA: Omicron mutations enhance infectivity and reduce
541 antibody neutralization of SARS-CoV-2 virus-like particles. medRxiv 2021. [Preprint].
542 doi:2021.2012.2020.21268048

543 [28] Sheward DJ, Kim C, Ehling RA, Pankow A, Castro Dopico X, Martin DP, Reddy ST,
544 Dillner J, Karlsson Hedestam GB, Albert J, Murrell B: Variable loss of antibody potency

- 545 against SARS-CoV-2 B.1.1.529 (Omicron). bioRxiv 2021. [Preprint].
546 doi:2021.2012.2019.473354
- 547 [29] Haveri A, Solastie A, Ekström N, Österlund P, Nohynek H, Nieminen T, Palmu AA,
548 Melin M: Neutralizing antibodies to SARS-CoV-2 Omicron variant after 3rd mRNA
549 vaccination in health care workers and elderly subjects and response to a single dose in
550 previously infected adults. medRxiv 2021. [Preprint]. doi:2021.2012.2022.21268273
- 551 [30] Arien KK, Heyndrickx L, Michiels J, Vereecken K, Van Lent K, Coppens S, Pannus
552 P, Martens GA, Van Esbroeck M, Goossens ME, Marchant A, Bartholomeeusen K,
553 Desombere I: Three doses of the BNT162b2 vaccine confer neutralising antibody
554 capacity against the SARS-CoV-2 B.1.1.529 (Omicron) variant of concern. medRxiv
555 2021. [Preprint]. doi:2021.2012.2023.21268316
- 556 [31] Willett BJ, Grove J, MacLean O, Wilkie C, Logan N, De Lorenzo G, et al.: The
557 hyper-transmissible SARS-CoV-2 Omicron variant exhibits significant antigenic change,
558 vaccine escape and a switch in cell entry mechanism. medRxiv 2022. [Preprint].
559 doi:2022.2001.2003.21268111
- 560 [32] Boschi C, Colson P, Bancod A, Moal V, La Scola B: Omicron variant escapes
561 therapeutic mAbs contrary to eight prior main VOC. bioRxiv 2022. [Preprint].
562 doi:2022.2001.2003.474769
- 563 [33] Dejnirattisai W, Huo J, Zhou D, Zahradník J, Supasa P, Liu C, et al.: Omicron-B.1.1.529
564 leads to widespread escape from neutralizing antibody responses. bioRxiv 2021. [Preprint].
565 doi:10.1101/2021.12.03.471045
- 566 [34] Banerjee A, Lew J, Kroeker A, Baid K, Aftanas P, Nirmalarajah K, Maguire F,
567 Kozak R, McDonald R, Lang A, Gerds V, Straus SE, Gilbert L, Li AX, Mozafarihasjin M,

568 Walmsley S, Gingras A-C, Wrana JL, Mazzulli T, Colwill K, McGeer AJ, Mubareka S,
569 Falzarano D: Immunogenicity of convalescent and vaccinated sera against clinical
570 isolates of ancestral SARS-CoV-2, beta, delta, and omicron variants. bioRxiv 2022.
571 [Preprint]. doi:2022.2001.2013.475409

572 [35] Ulloa AC, Buchan SA, Daneman N, Brown KA: Early estimates of SARS-CoV-2
573 Omicron variant severity based on a matched cohort study, Ontario, Canada. medRxiv
574 2021. [Preprint]. doi:2021.2012.2024.21268382

575 [36] Wolter N, Jassat W, Walaza S, Welch R, Moultrie H, Groome M, Amoako DG,
576 Everatt J, Bhiman JN, Scheepers C, Tebeila N, Chiwandire N, du Plessis M, Govender
577 N, Ismail A, Glass A, Mlisana K, Stevens W, Treurnicht FK, Makatini Z, Hsiao N-y,
578 Parboosing R, Wadula J, Hussey H, Davies M-A, Boulle A, von Gottberg A, Cohen C:
579 Early assessment of the clinical severity of the SARS-CoV-2 Omicron variant in South
580 Africa. medRxiv 2021. [Preprint]. doi:2021.2012.2021.21268116

581 [37] SARS-CoV-2 variants of concern and variants under investigation in England
582 Technical briefing: Update on hospitalisation and vaccine effectiveness for Omicron
583 VOC-21NOV-01 (B.1.1.529) UK Health Security: UK Health Security, 2021.

584 [38] Davies M-A, Kassanjee R, Rousseau P, Morden E, Johnson L, Solomon W, et al.:
585 Outcomes of laboratory-confirmed SARS-CoV-2 infection in the Omicron-driven fourth
586 wave compared with previous waves in the Western Cape Province, South Africa.
587 medRxiv 2022. [Preprint]. doi:2022.2001.2012.22269148

588 [39] Bentley EG, Kirby A, Sharma P, Kipar A, Mega DF, Bramwell C, Penrice-Randal R,
589 Prince T, Brown JC, Zhou J, Screatton GR, Barclay WS, Owen A, Hiscox JA, Stewart
590 JP: SARS-CoV-2 Omicron-B.1.1.529 Variant leads to less severe disease than Pango B

591 and Delta variants strains in a mouse model of severe COVID-19. bioRxiv 2021.
592 [Preprint]. doi:2021.2012.2026.474085

593 [40] Abdelnabi R, Foo CS, Zhang X, Lemmens V, Maes P, Slechten B, Raymenants J,
594 André E, Weynand B, Dallemier K, Neyts J: The omicron (B.1.1.529) SARS-CoV-2
595 variant of concern does not readily infect Syrian hamsters. bioRxiv 2021. [Preprint].
596 doi:2021.2012.2024.474086

597 [41] Diamond M, Peter H, Tadashi M, Kiyoko I-H, Shun I, Maki K, et al.: The SARS-
598 CoV-2 B.1.1.529 Omicron virus causes attenuated infection and disease in mice and
599 hamsters Nature Portfolio 2022. 29 December 2021. [Preprint].
600 doi:<https://doi.org/10.21203/rs.3.rs-1211792/v1>

601 [42] McMahan K, Giffin V, Tostanoski L, Chung B, Siamatu M, Suthar M, Halfmann P,
602 Kawaoka Y, Piedra-Mora C, Martinot A, Kar S, Andersen H, Lewis MG, Barouch DH:
603 Reduced Pathogenicity of the SARS-CoV-2 Omicron Variant in Hamsters. bioRxiv 2022.
604 [Preprint]. doi:2022.2001.2002.474743

605 [43] Yuan S, Ye Z-W, Liang R, Tang K, Zhang AJ, Lu G, Ong CP, Poon VK-M, Chan
606 CC-S, Mok BWY, Qin Z, Xie Y, Sun H, Tsang JO-L, Yuen TT-T, Chik KK-H, Chan CC-
607 Y, Cai J-P, Luo C, Lu L, Yip CC-Y, Chu H, To KK-W, Chen H, Jin D-Y, Yuen K-Y, Chan
608 JFW: The SARS-CoV-2 Omicron (B.1.1.529) variant exhibits altered pathogenicity,
609 transmissibility, and fitness in the golden Syrian hamster model. bioRxiv 2022.
610 [Preprint]. doi:2022.2001.2012.476031

611 [44] SARS-CoV-2 variants of concern and variants under investigation in England
612 Technical briefing 34. UK Health Security Agency. January 14, 2022.
613

614 **Figure Legends**

615

616 **Figure 1** Epidemiologic curve showing five COVID-19 disease waves in Houston
617 Methodist patients. Number of new COVID-19 cases (y-axis) totals are shown as a +/-
618 three-day moving average. Each of the five waves is shown in a different color. The first
619 and second waves were composed of a heterogenous array of SARS-CoV-2 genotypes.
620 The Alpha VOC shown in the third wave, the Delta VOC shown in the fourth, and the
621 Omicron VOC shown in the fifth wave indicate their numeric prominence in those
622 waves. The figure should not be interpreted to mean that all cases in the third, fourth,
623 and fifth waves were caused by Alpha, Delta, and Omicron VOCs, respectively. Rather,
624 they are the dominant single VOCs causing disease in Houston Methodist system
625 patients in those waves. The fifth wave shown includes data through January 5, 2022.
626 The figure was generated with Tableau version 2021.2.7 (Tableau Software, LLC,
627 Seattle, WA), and is a modified version of one presented recently⁶. The curve is
628 essentially superimposable on COVID-19 activity in all metropolitan Houston, Texas.
629

630 **Figure 2** Increase in Omicron frequency over time and distribution in metropolitan
631 Houston. The study time frame was November 27, 2021 through January 5, 2022. **A:**
632 Omicron logistic growth model. The estimated case doubling time is 1.8 days. **B:**
633 Cumulative increase in Omicron during the study period; y-axis is the cumulative
634 number of new COVID-19 Omicron cases. At the end of the study period, Omicron
635 caused 98% of all COVID-19 cases. The plateau between December 24, 2021 and
636 December 30, 2021 exists because we did not sequence samples obtained during this

637 period due to the massive number of daily positive specimens, as described in the
638 Materials and Methods section. **C – F:** Geospatial distribution of Omicron based on
639 home address zip code for each patient. **C:** November 27 – December 6; **D:** November
640 27 – December 16; **E:** November 27 – December 26; **F:** November 27 – January 5.
641 Note differences in heat map scale for each panel. Figures were generated using
642 Tableau version 2021.2.7. (Tableau Software, LLC, Seattle, WA).

Journal Pre-proof

643 **Table 1. Summary of pertinent patient metadata for 7,617 unique patients infected**
 644 **with Omicron or Alpha variants.**

	Omicron Variant	Alpha Variant	Total	Statistical Analysis
No. (%) with data	4468 (58.7%)	3149 (41.3%)	7617	
Patient Characteristics				
Median Age (Years)	44.3	50.0	47.2	$P < 0.0001$ Mann-Whitney
Female	2584 (57.8%)	1617 (51.3%)	4201 (55.2%)	$P < 0.0001$ Fisher's exact test
Male	1884 (42.2%)	1532 (48.7%)	3416 (44.8%)	
Ethnicity				
Caucasian	1627 (36.4%)	1240 (39.4%)	2867 (37.6%)	$P < 0.0001$ Chi-square
Hispanic or Latino	992 (22.2%)	942 (29.9%)	1934 (25.4%)	
Black	1376 (30.8%)	729 (23.2%)	2105 (27.6%)	
Asian	203 (4.5%)	122 (3.9%)	325 (4.3%)	
Other	29 (0.6%)	32 (1.0%)	61 (0.8%)	
Unavailable	241 (5.4%)	84 (2.7%)	325 (4.3%)	
BMI				
Median BMI	29.0	30.5	29.6	$P < 0.0001$ Mann-Whitney
Admission Data				
Admitted	884 (19.8%)	1719 (54.6%)	2603 (34.2%)	$P < 0.0001$ Fisher's exact test Odds Ratio: 0.205 (95% CI 0.185- 0.227)
Not Admitted	3584 (80.2%)	1430 (45.4%)	5014 (65.8%)	
Median LOS (Days) (Discharged patients only)	3.2	5.1	4.7	$P < 0.0001$ Mann-Whitney
Max Respiratory Support				
ECMO	1 (0.1%)	7 (0.4%)	8 (0.3%)	$P < 0.0001$ Chi-square
Mechanical Ventilation	49 (5.5%)	144 (8.4%)	193 (7.4%)	

Non-Invasive Ventilation	63 (7.1%)	163 (9.5%)	226 (8.7%)	
High Flow Oxygen	72 (8.1%)	364 (21.2%)	436 (16.7%)	
Low Flow Oxygen	314 (35.5%)	722 (42.0%)	1036 (39.8%)	
Room Air	385 (43.6%)	319 (18.6%)	704 (27.0%)	
Mortality				
Alive	4430 (99.1%)	2979 (94.6%)	7409 (97.3%)	$P < 0.0001$
Deceased	38 (0.9%)	170 (5.4%)	208 (2.7%)	Fisher's exact test
				Odds Ratio: 0.150 (95% CI 0.105- 0.214)
Median PCR Cycle Threshold				
Abbott Alinity	20.8 n=1961	22.4 n=1049	n=3010	$P = 0.0001$ Mann-Whitney
Hologic Panther	22.7 n=476	24.2 n=355	n=831	$P = 0.0745$ Mann-Whitney
Vaccine				
Not Fully Vaccinated	1971 (44.1%)	3048 (96.8%)	5019 (65.9%)	$P < 0.0001$
Fully Vaccinated	2497 (55.9%)	101 (3.2%)	2598 (34.1%)	Fisher's exact test
				Odds Ratio: 38.232 (95% CI 31.088- 47.017)

645 BMI: body mass index; CI: confidence interval; ECMO: extracorporeal membrane oxygenation; LOS: length of stay

646 **Table 2. Summary of pertinent patient metadata for 20,196 unique patients**
 647 **infected with Omicron or Delta variants.**

	Omicron Variant	Delta Variant	Total	Statistical Analysis
No. (%) with data	4468 (22.1%)	15728 (77.9%)	20196	
Patient Characteristics				
Median Age (Years)	44.3	48.3	47.6	$P < 0.0001$ Mann-Whitney
Female	2584 (57.8%)	8123 (51.6%)	10707 (53.0%)	$P < 0.0001$ Fisher's exact test
Male	1884 (42.2%)	7605 (48.4%)	9489 (47.0%)	
Ethnicity				
Caucasian	1627 (36.4%)	6903 (43.9%)	8530 (42.2%)	$P < 0.0001$ Chi-square
Hispanic or Latino	992 (22.2%)	4179 (26.6%)	5171 (25.6%)	
Black	1376 (30.8%)	3450 (21.9%)	4826 (23.9%)	
Asian	203 (4.5%)	531 (3.4%)	734 (3.6%)	
Other	29 (0.6%)	112 (0.7%)	141 (0.7%)	
Unavailable	241 (5.4%)	553 (3.5%)	794 (3.9%)	
BMI				
Median BMI	29.0	29.6	29.4	$P < 0.0001$ Mann-Whitney
Admission Data				
Admitted	884 (19.8%)	6779 (43.1%)	7663 (37.9%)	$P < 0.0001$ Fisher's exact test Odds Ratio: 0.326 (95% CI 0.301- 0.353)
Not Admitted	3584 (80.2%)	8949 (56.9%)	12533 (62.1%)	
Median LOS (Days) (Discharged patients only)	3.2	5.4	5.2	$P < 0.0001$ Mann-Whitney
Max Respiratory Support				
ECMO	1 (0.1%)	19 (0.3%)	20 (0.3%)	$P < 0.0001$ Chi-square
Mechanical Ventilation	49 (5.5%)	727 (10.7%)	776 (10.1%)	

Non-Invasive Ventilation	63 (7.1%)	641 (9.5%)	704 (9.2%)	
High Flow Oxygen	72 (8.1%)	1796 (26.5%)	1868 (24.4%)	
Low Flow Oxygen	314 (35.5%)	2290 (33.8%)	2604 (34.0%)	
Room Air	385 (43.6%)	1306 (19.3%)	1691 (22.1%)	
Mortality				
Alive	4430 (99.1%)	14889 (94.7%)	19319 (95.7%)	$P < 0.0001$
Deceased	38 (0.9%)	839 (5.3%)	877 (4.3%)	Fisher's exact test
				Odds Ratio: 0.152 (95% CI 0.110- 0.211)
Median PCR Cycle Threshold				
Abbott Alinity	20.8 n=1961	21.5 n=5122	n=7083	$P < 0.0001$ Mann-Whitney
Hologic Panther	22.7 n=476	22.6 n=1298	n=1774	$P = 0.1606$ Mann-Whitney
Vaccine				
No vaccine	1815 (40.6%)	11415 (72.6%)	13230 (65.5%)	$P < 0.0001$
>7 days past 1st Vaccine	156 (3.5%)	494 (3.1%)	650 (3.2%)	Chi-square
>14 days past 2nd Vaccine	1786 (40.0%)	3679 (23.4%)	5465 (27.1%)	
>14 days past 3rd Vaccine	711 (15.9%)	140 (0.9%)	851 (4.2%)	

648

BMI: body mass index; CI: confidence interval; ECMO: extracorporeal membrane oxygenation; LOS: length of stay



